



INVESTOR IN PEOPLE

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I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation and Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein together with the Statement of inventorship and of right to grant of a Patent (Form 7/77), which was subsequently filed.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

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Dated 15 February 2001

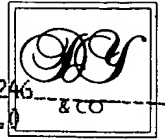
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# Patents Form 1/77

Patents Act 1977  
(Rule 1)

The  
Patent  
Office

07APR00 E527845-11 D02246  
P01/7700 0.00-0008529.0

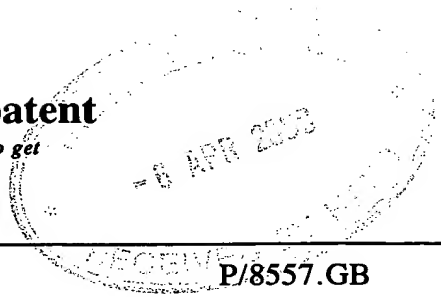


## Request for a grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road  
Newport  
Gwent NP9 1RH



1. Your reference

P/8557.GB

2. Patent application number  
(The Patent Office will fill in this part)

0008529.0

6 APR 2000

3. Full name, address and postcode of the or of each applicant  
(underline all surnames)

SONY UNITED KINGDOM LIMITED  
THE HEIGHTS  
BROOKLANDS  
WEYBRIDGE  
SURREY, KT13 0XW

Patents ADP number (if you know it)

6522700001

If the applicant is a corporate body, give the country/state of its incorporation

UNITED KINGDOM

4. Title of the invention

DIGITAL BROADCASTING

5. Name of your agent (if you have one)

D YOUNG & CO

"Address for service" in the United Kingdom to which all correspondence should be sent  
(including the postcode)

21 NEW FETTER LANE  
LONDON  
EC4A 1DA

Patents ADP number (if you have one)

59006

6. If you are declaring priority from one or more earlier patent applications, give the country and date of filing of the or each of these earlier applications and (if you know it) the or each application number

Country

Priority application  
number  
(if you know it)

Date of filing  
(day/month/year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and filing date of the earlier application

Number of earlier  
application

Date of filing  
(day/month/year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:  
a) any applicant named in part 3 is not an inventor, or  
b) there is an inventor who is not named as an applicant, or  
c) any named applicant is a corporate body.  
See note (d))

YES

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form 0

Description 8

Claims(s) 3

Abstract 1

Drawing(s) 5 + 6

10. If you are also filing any of the following, state how many against each item.

Priority documents 0

Translations of priority documents 0

Statement of inventorship and right to grant of a patent (Patents Form 7/77) 0

Request for preliminary examination and search (Patents Form 9/77) 1

Request for substantive examination (Patents Form 10/77) 0

Any other documents 0  
(please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature

Date

D Young & Co

06 04 00

D YOUNG & CO

Agents for the Applicants

12. Name and daytime telephone number of the person to contact in the United Kingdom

J A TURNER

(02380)  
634816

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After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

### Notes

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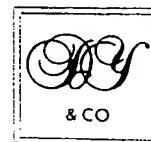
b) Write your answers in capital letters using black ink or you may type them

c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.

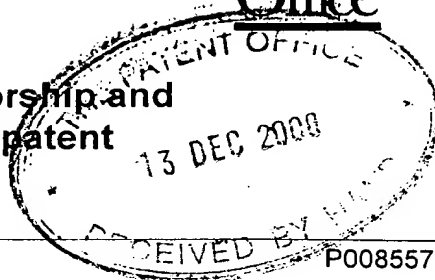
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Statement of inventorship and  
of right to grant of a patent



The Patent Office

Cardiff Road  
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1. Your reference P008557GB
2. Patent application number (if you know it) 0008529.0
3. Full name of the or of each applicant SONY UNITED KINGDOM LIMITED
4. Title of the invention DIGITAL BROADCASTING
5. State how the applicant(s) derived the right from the inventor(s) to be granted a patent BY VIRTUE OF AN ASSIGNMENT DATED 13 DECEMBER 2000 BETWEEN OURSELVES AND THE OVERNAMED INVENTOR
6. How many, if any, additional Patents Forms 7/77 are attached to this form? (see note (c))

7. I/We believe that the person(s) named over the page (and on any extra copies of this forms) is/are the inventor(s) of the invention which the above patent relates to.

Signature

Date

*D Young & Co*

**D YOUNG & CO**  
Agents for the Applicants

14 Dec 2000

8. Name and daytime telephone number of person to contact in the United Kingdom 023 80634816 James Turner

**Notes**

a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.

b) Write answers in capital letters using black ink or you may type them.

c) If there are more than three inventor, please write the names and addresses of the other inventors on the back of another Patents Form 7/77 and attach it to this form.

d) When an application does not declare any priority, or declares priority from an earlier UK application, you must provide enough copies of this form so that the Patent Office can send one to each inventor who is not an applicant.

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Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

Surname	ADAM
First Names	John
Address	35 WILLOW WAY FARNHAM SURREY, GU9 0NU UNITED KINGDOM
Patents ADP number (if you know it): 08045551001	

Surname	
First Names	
Address	
Patents ADP number (if you know it):	

Surname	
First Names	
Address	
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Have you signed the form?

DUPLICATE

DIGITAL BROADCASTING

This invention relates to digital broadcasting.

Digital broadcasting encompasses video, audio, data and other information broadcast  
5 by digital means. In the United Kingdom, television services are broadcast using the so-called DVB standard, and digital audio broadcasting is also growing in popularity.

These techniques rely on packaging up data representing video signals, audio signals, electronic programme guides and the like into a packetised form for transmission. In the DVB standard so-called transport stream packets are 188 bytes long and can carry a digital  
10 payload of up to 184 bytes.

However, by the stage is reached where the data is being packetised, the packetising and transmission techniques are independent of the nature of the data, that is to say the nature of signal represented by the data. So, it has long been recognised that the system may transmit other types of data as well, and indeed this facility was designed into the DVB  
15 standard.

Transmitting data channels as part of the DVB signal allows a much greater data bandwidth than previous arrangements such as teletext and the radio data system (RDS) where data was buried in an analogue broadcast signal. Indeed, a bandwidth in excess of 2 Mbits/second is readily available, which is much higher than even ISDN or PSTN modem  
20 connections. Accordingly, this opens the way for entirely new types of services to be provided to the end-user. these could enhance the existing television or radio channels or could be revenue-earning ventures in their own right. Some examples are:

- information services including news, weather, traffic news, programme guides
- enhanced television services such as interactive game shows, audience-targeted  
25 advertisements
- commercial services such as home shopping, banking, gambling
- general data services such as software downloading, computer games, internet access

A force driving the development of these ancillary services is that the digital broadcast market is becoming more competitive, with the increased number of channels  
30 making it more difficult for the broadcaster to attract the audience's attention. It has been estimated (DataMonitor July 1998) that the world-wide market in data-to-the-home services was worth less than US\$20m in 1997, but could be worth US\$6.7bn by the year 2002.

In practical terms, the data associated with DVB television services is carried as part of the DVB transport stream as described above. The data handling protocol often used is the so-called DSM-CC (digital storage media – command and control) protocol, an ISO-IEC standard adopted by the DVB consortium. So, DSM-CC may be considered a core technology for DVB data broadcasting. Reference is made to the DVB Broadcasting Reference document TS/EN 301 192.

The DVB DSM-CC protocol allows for a number of so-called delivery profiles.

- data piping – a simple, asynchronous end-to-end delivery of data
- data streaming – a “streaming oriented” end-to-end delivery of synchronous or asynchronous data
- multiprotocol encapsulation – a delivery of other communication protocols via the DVB transport stream, such as TCP/IP
- data carousels / object carousels – a periodic and/or cyclic delivery of data modules, similar in some ways to previous teletext services

In previously proposed broadcasting arrangements, a content originator supplies content (A/V, data or other) to a broadcaster who then schedules it for transmission in amongst other programmes or services provided by that broadcaster.

This invention provides a digital broadcasting arrangement comprising:

one or more content-originating client systems by which digital content for broadcast is originated; and

a broadcast server system operable to receive digital content from the client systems and to broadcast that digital content for reception by end-users;

in which:

the server system is operable to assign to each client system an access permission defining at least a time period and a digital data bandwidth available within that time period; and

each client system is operable to define digital content for broadcast during the time period defined by the access permission assigned to that client system and scheduling control data defining the manner in which the digital content is to be broadcast during that time period.

The invention builds on previous broadcast arrangements, by recognising that those arrangements arguably place too much control in the hands of the company actually delivering the broadcast to the end-user. Instead, in the present invention control over



matters such as scheduling is placed with the client systems, which may (preferably) be operated by entities independent from the company running the broadcast server.

One way in which this could have been achieved would be simply to make the broadcast server a "dumb" store and forward arrangement. However, while that might be a possible solution in the field of linear programme delivery (e.g. the broadcast of a feature film), it is not a good solution in the field of data services where data delivery can be in a non-linear manner or even based on a carousel model where data items are repeated, some (such as indices) more frequently than others.

Preferably the content is data content for broadcast as a data (rather than a traditional A/V) service. Here, the invention is particularly useful as it recognises that the scheduling requirements of data and traditional A/V services can be quite different.

The invention is suited to various types of broadcast environment including DVB, other digital formats, terrestrial digital, satellite digital, cable digital, internet broadcasting and the like.

Further aspects and features of the invention are defined in the appended claims.

Embodiments of the invention will now be described with reference to the accompanying drawings, throughout which like parts are referred to by like references, and in which:

Figure 1 is a schematic diagram of a digital broadcasting arrangement according to an embodiment of the present invention;

Figure 2 schematically illustrates a data interaction within the arrangement of Figure 1;

Figure 3 schematically illustrates an access permission;

Figure 4 schematically illustrates a carousel type of data delivery;

Figure 5 schematically illustrates a service delivery timeline;

Figure 6 schematically illustrates a service delivery hierarchy planning tool; and

Figure 7 schematically illustrates the arrangement of Figure 1 operating in a live service feed mode.

Figure 1 of the accompanying drawings schematically illustrates a digital broadcasting arrangement, relating to the broadcast of both "conventional" audio/video (A/V) programme content and also digital data content.

In Figure 1, the content is broadcast by a DVB broadcast network (e.g. a cable, terrestrial or satellite network) 10 to a plurality of end-users each having a television set 20 and a so-called set top box (STB) 30. The broadcast content is decoded from the DVB

stream by the STB 30 and then displayed on the television set 20. In the case of interactive content, the user may issue control commands by using, for example, an infra red remote commander (not shown) or a keyboard (not shown).

5 A/V programme content (sourced internally by the broadcaster or externally by a third party provider) is encoded according to the MPEG-2 standard and passed to a multiplexer 120. The multiplexer 120 also receives data content (to be described below) and conditional access (e.g. "pay TV") information from a conditional access and subscriber management unit 150. The multiplexer operates to multiplex all of this into a DVB data stream using conventional DVB techniques (here, reference is made to standard textbooks on 10 MPEG-2 such as "Digital Television", H Benoit, 1997, ISBN 0 340 69190 5). The DVB data stream is broadcast over the DVB network 10 and decoded at the STB 30, again by conventional techniques.

A telephone or similar reverse connection from the STB 30, via a telecommunications network 140 such as a public utility network, allows subscriber management information to be 15 obtained and supplied to the conditional access and subscriber management unit 150 – for example, including requests for access to pay TV programming. The reverse connection also allows billing to be made to each subscriber, in respect of programme content received or other transactions (e.g. home shopping) by a return channel management unit 160.

On the data content side, Figure 1 shows a number of content-originating "client 20 systems" 200, 210, 220 connected via a data connection network 230 such as the internet, a dial-up modem or ISDN connection, a dedicated data transfer channel or the like, to a broadcast "server system" 240.

The techniques to be described below relate mainly to data content origination (data content being, for example, the types of content described in the introduction to this 25 application) but could relate instead to the origination of conventional A/V content or other types of content.

The output of the server system 240 is connected to the multiplexer 120 described above, and from there to the DVB network for broadcast to the end-user. These may be operated by the same entity which operates the server system 240 or by an independent 30 broadcast channel provider.

The server system comprises two storage servers 242, 244 sharing a common fault-tolerant RAID disk array 246. Two storage servers are used to provide redundancy in case of a fault developing during a broadcast. The storage servers control the storage of incoming programme content into the RAID array 246 and the subsequent reading out of the data from

the RAID array for broadcast. In controlling the reading out of the data they make use of scheduling information received from the client systems, defining which data is to be read out and broadcast at what time. The data outputs of the storage servers are passed to two respective DSM-CC carousel server units 250, 260 such as Sony (RTM) Mediacaster (TM) units, which format the data into the DSM-CC carousels or other appropriate format for broadcast.

The client systems 200..220 are operable to originate content for broadcast and associated scheduling information to control the time and nature of the broadcast of that content. Each client system comprises a computer workstation having a data connection and running appropriate software to carry out the functions described below.

A database 165 may be provided to allow return channel information such as viewing figures to be made accessible to the client system users.

The interaction of the client systems 200..220 with the server system 240 is illustrated schematically in Figure 2.

Referring to Figure 2, after an appropriate commercial relationship has been established between the corporate entity operating a client system and the corporate entity operating the server system, the server system 240 first issues an "access permission" to the client system. The access permissions issued by the server system 240 are also stored by the storage servers 242, 244 for later use (see below).

An example, in simplified form, of an access permission is illustrated schematically in Figure 3. The access permission basically defines three things: the name (or logical identifier) of the client system, a time period for broadcast and a data bandwidth for the client system's use during that time period. The bandwidth may be defined as a constant bandwidth for the duration of the broadcast period or may be defined to vary – e.g. step up and down – during that period. It would normally be the case that the entity responsible for the client system would be expected to pay the entity operating the server system in dependence on the length of time and the bandwidth of the access permission.

Returning to Figure 2, the client system provides the following information back to the server system:

- user verification and authorisation data such as a password previously issued by the server system
- data content for broadcast

- data defining the manner of broadcast, such as data carousel descriptors (see Figure below)
- scheduling data defining the temporal nature of the broadcast (see Figure 5 below)

These items are received by the server system 240 via the data connection 230. The user verification data is checked to identify the user, to verify its authority to broadcast programme content via that server, and to establish whether any access permissions have been issued to that client system. The data content is stored by the RAID array 246 under the control of the storage servers 242, 244. The carousel descriptors and scheduling data are stored by the storage servers.

At the appropriate time defined by the scheduling data supplied from the client system, as long as that time lies within the range defined by a previously issued access permission for that client, the data content is read from the RAID array 246 and, under control of the storage servers 242, 244, passed to the DSM-CC carousel servers 250, 260 to be formatted into the broadcast format defined by the carousel descriptors and the like received from the client system. The storage servers also ensure that data is not supplied for broadcast at a data rate higher than that permitted under the relevant access permission.

Figure 4 is a schematic diagram illustrating a DSM-CC carousel comprising a rotating set of data items output in turn to be multiplexed into the DVB stream for broadcast. Each data item could be, for example, a HTML object, an MHEG object, a Java object, an MHP object or the like. At the STB 30, these data objects can either be run as programs by processing hardware 31 under the control of an operating system 32 and an application programming interface 33, or provide source data to be viewed or otherwise acted upon by applications 34 resident within the STB. As noted, the STB also handles conditional access 35 relating to the received content.

DVB-MHP is only one example, albeit a very important one, of an STB environment which is relevant to the broadcast systems described here.

Figure 5 schematically illustrates an example of a service delivery timeline. This is a temporal representation of programme content to be broadcast and is set up at the client system. It is transmitted as scheduling information by the client system to the server system.

The timeline may be displayed as part of a graphical user interface and defines time along a horizontal axis, with bit-rate along a vertical axis. In the present example, each vertical division signifies a bit rate of 50 kilobits/second.

The time axis is marked with two times, "start" and "end" which are the limits of the time period allocated to that client by the relevant access permission. As described above, the client may initiate content for broadcast during that time period.

The example access permission defines three periods within the overall permission period:

- from "start" to "t1", a bit rate of 50 kb/s may be used
- from "t1" to "t2", a bit rate of 200 kb/s may be used
- from "t2" to "end", a bit rate of 100 kb/s may be used

Accordingly, the operator has selected three data content items for broadcast during these respective periods, namely content A, content B and content C. When the content is downloaded from the client system to the server system, all three content items are sent with respective identifiers, along with scheduling data defining the following scheduling information:

- from "start" to "t1", broadcast content A at 50 kb/s
- from "t1" to "t2", broadcast content B at 200 kb/s
- from "t2" to "end", broadcast content C at 100 kb/s

The server system ascertains whether this scheduling data is within the limits defined by the access permission (it is) and broadcasts the relevant content in accordance with these instructions. Because the data is broadcast in a carousel fashion, a higher bit rate simply means that the carousel proceeds around faster.

In other words, the scheduling task has moved away from the broadcaster (as in Figure 1) to the content provider.

Figure 6 schematically illustrates a service delivery planning tool. This is used to define the carousel arrangement (if one is used) and the way in which data is to be handled by the STB. The planning tool of Figure 6 may form part of a graphical user interface at the client system.

In a left-most column are reference names for each data item forming part of the content to be broadcast. These reference names are mapped (in a second column) to filenames of data which – at the time of the planning operation – are stored at the client system, but which will later be transferred to the server system if they are included within the content to be broadcast.

A third column defines a playout operation for each item. Loop symbols, such as those at Page 4 and Page 6 of Figure 6, indicate a carousel operation whereby the indicated

data items are played out one after another in circular fashion. Other entries in the table column can indicate whether that item is to form part of the current programming.

Figure 7 schematically illustrates the arrangement of Figure 1 operating in a live service feed mode. In this mode, a real-time or quasi-real-time data feed (such as a news event or a commentary from Parliamentary debate or the like) is supplied to a data formatter 205 which operates to insert the data feed into the appropriate position in the data structure 109 described above with reference to Figure 6. Changes to the data structure are passed to a buffer 215 and from there to the server system 240 (with an identifier to specify its position in the data structure) to amend the corresponding content data hierarchy held on the RAID array. When each data item comes round for broadcast in accordance with the carousel descriptors held by the storage servers at the server system 240, the most recent update received for that data item is supplied for output to the DSM-CC carousel servers.

CLAIMS

1. A digital broadcasting arrangement comprising:  
one or more content-originating client systems by which digital content for broadcast  
5 is originated; and  
a broadcast server system operable to receive digital content from the client systems  
and to broadcast that digital content for reception by end-users;  
in which:  
the server system is operable to assign to each client system an access permission  
10 defining at least a time period and a digital data bandwidth available within that time period;  
and  
each client system is operable to define digital content for broadcast during the time  
period defined by the access permission assigned to that client system and scheduling control  
data defining the manner in which the digital content is to be broadcast during that time  
15 period.
2. A broadcasting arrangement according to claim 1, in which the digital content  
comprises data representing a broadcast data service.
- 20 3. A broadcasting arrangement according to claim 2, in which the data service conforms  
to the DVB-Multimedia Home Platform data format.
4. A broadcasting arrangement according to any one of the preceding claims, in which  
the digital content is arranged for repetitive broadcast as a carousel arrangement over the  
25 time period defined by the access permission.
5. A broadcasting arrangement according to any one of the preceding claims, in which  
each client system is operable to transfer the scheduling data to the server, the server  
scheduling the content for broadcast in accordance with the transferred scheduling data.  
30
6. A broadcasting arrangement according to any one of the preceding claims, in which  
the server system is linked to at least one of the client systems by a remote data connection.

7. A broadcasting arrangement according to any one of the preceding claims, in which the server system and at least one client system are operated by different companies.
8. A client system for interacting with a broadcast server system in a digital broadcast arrangement,  
5 the client system being operable to originate digital content for broadcast; and  
the client system being operable to define digital content for broadcast during the time period defined by an access permission assigned to that client system by the broadcast server and scheduling control data defining the manner in which the digital content is to be  
10 broadcast during that time period.
9. A broadcast server system for interacting with one or more content-originating client systems in a digital broadcasting arrangement,  
the broadcast server system being operable to receive digital content from the client  
15 systems and to broadcast that digital content for reception by end-users; and  
the broadcast server system being operable to assign to each client system an access permission defining at least a time period and a digital data bandwidth available within that time period.
- 20 10. A client system substantially as hereinbefore described with reference to the accompanying drawings.
11. A server system substantially as hereinbefore described with reference to the accompanying drawings.
- 25 12. A digital broadcasting arrangement substantially as hereinbefore described with reference to the accompanying drawings.
13. Computer software which, when executed on data processing apparatus, causes the  
30 data processing apparatus to function as a client system according to claim 8 or claim 10.
14. Computer software which, when executed on data processing apparatus, causes the data processing apparatus to function as a server system according to claim 9 or claim 11.

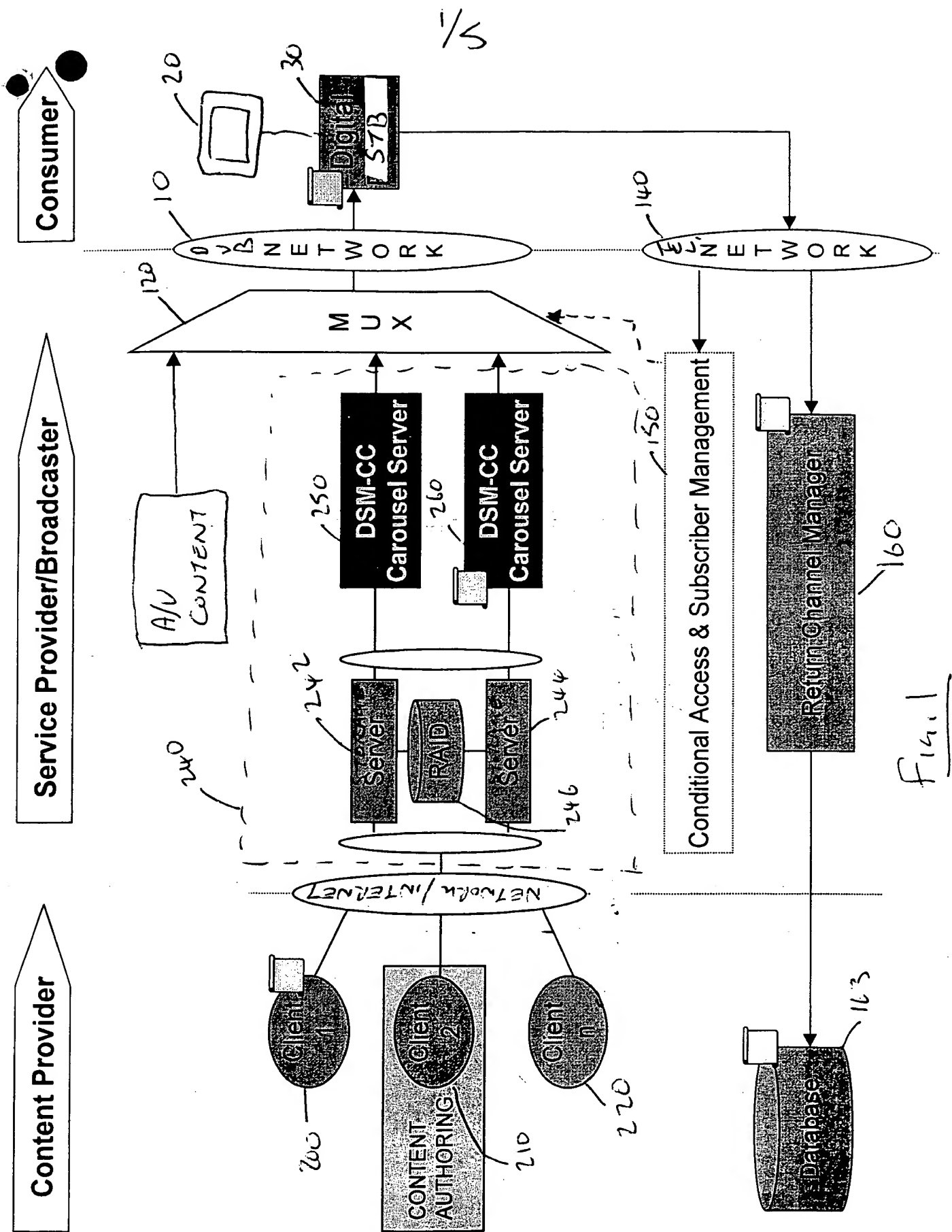


15. A storage medium by which software according to claim 13 or claim 14 is stored.

ABSTRACTDIGITAL BROADCASTING

5           A digital broadcasting arrangement comprises one or more content-originating client systems by which digital content for broadcast is originated; and a broadcast server system operable to receive digital content from the client systems and to broadcast that digital content for reception by end-users; in which: the server system is operable to assign to each client system an access permission defining at least a time period and a digital data  
10 bandwidth available within that time period; and each client system is operable to define digital content for broadcast during the time period defined by the access permission assigned to that client system and scheduling control data defining the manner in which the digital content is to be broadcast during that time period.

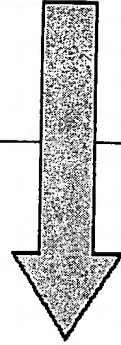
15   Figure \*.



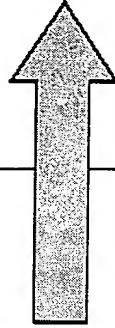
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MediaManager Client  
200...220

MediaManager Server 240



Content  
Carousel Descriptors  
Play-out schedule



Access permission  
Bandwidth Allocation  
Event ("as run") logs



DSM-CC Carousels

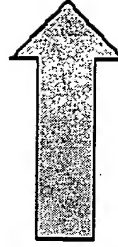


Fig. 3

3/20 2/5

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Fig 3/5

client	time period	bandwidth
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Fig. 3

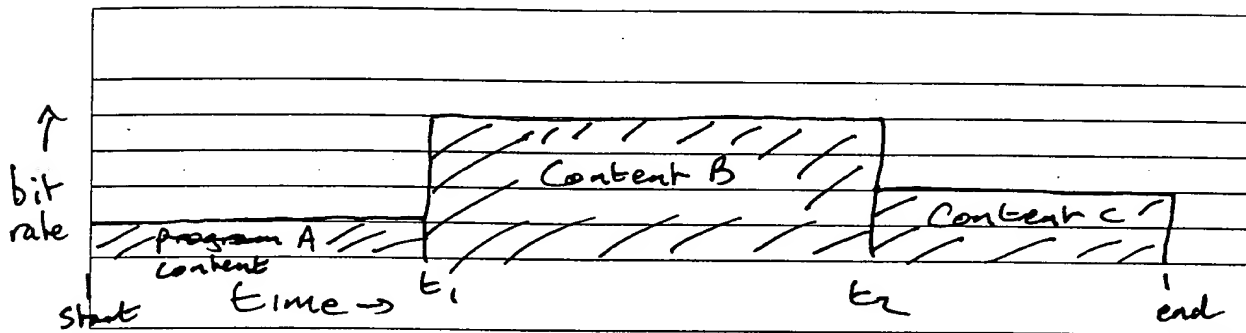


Fig. 35

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Page 3	ccc.prg	4
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Page 5	ggg.prg	4
Page 6	hhh.prg	D
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Fig. 36

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CLIENT SYSTEM

STB

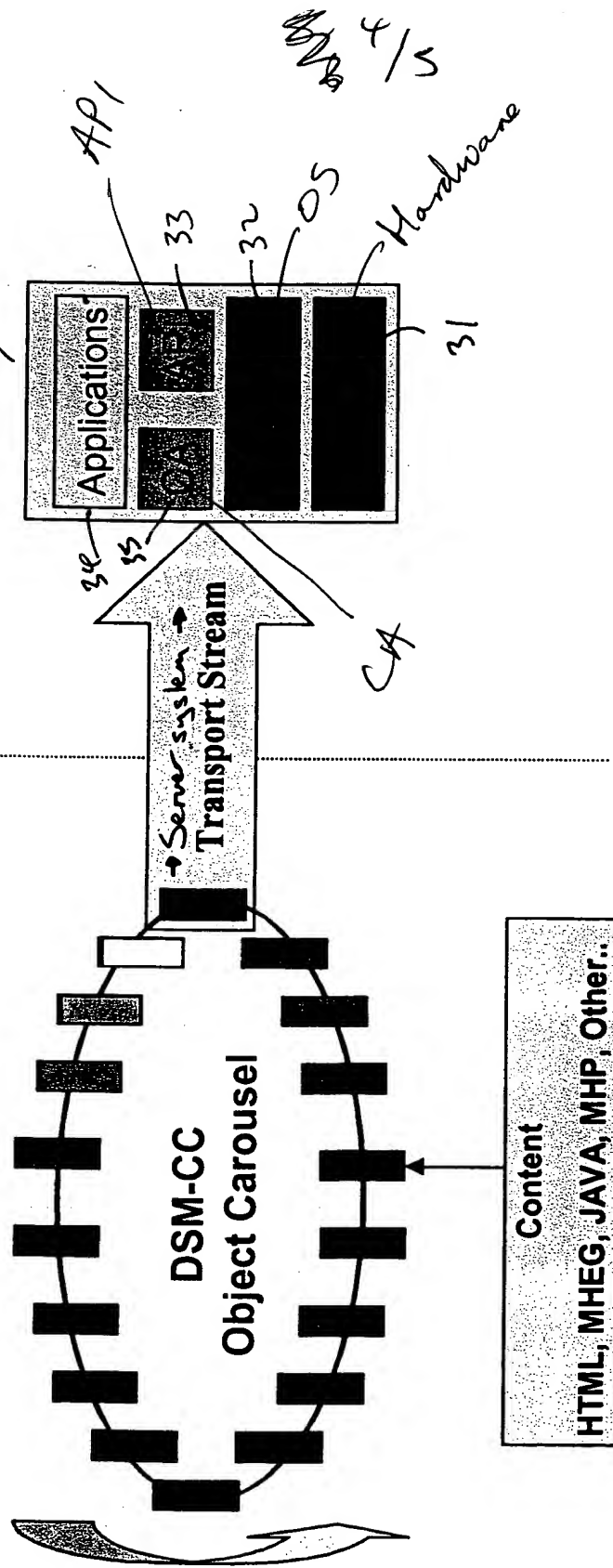


Fig. 4

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5/5

100...110

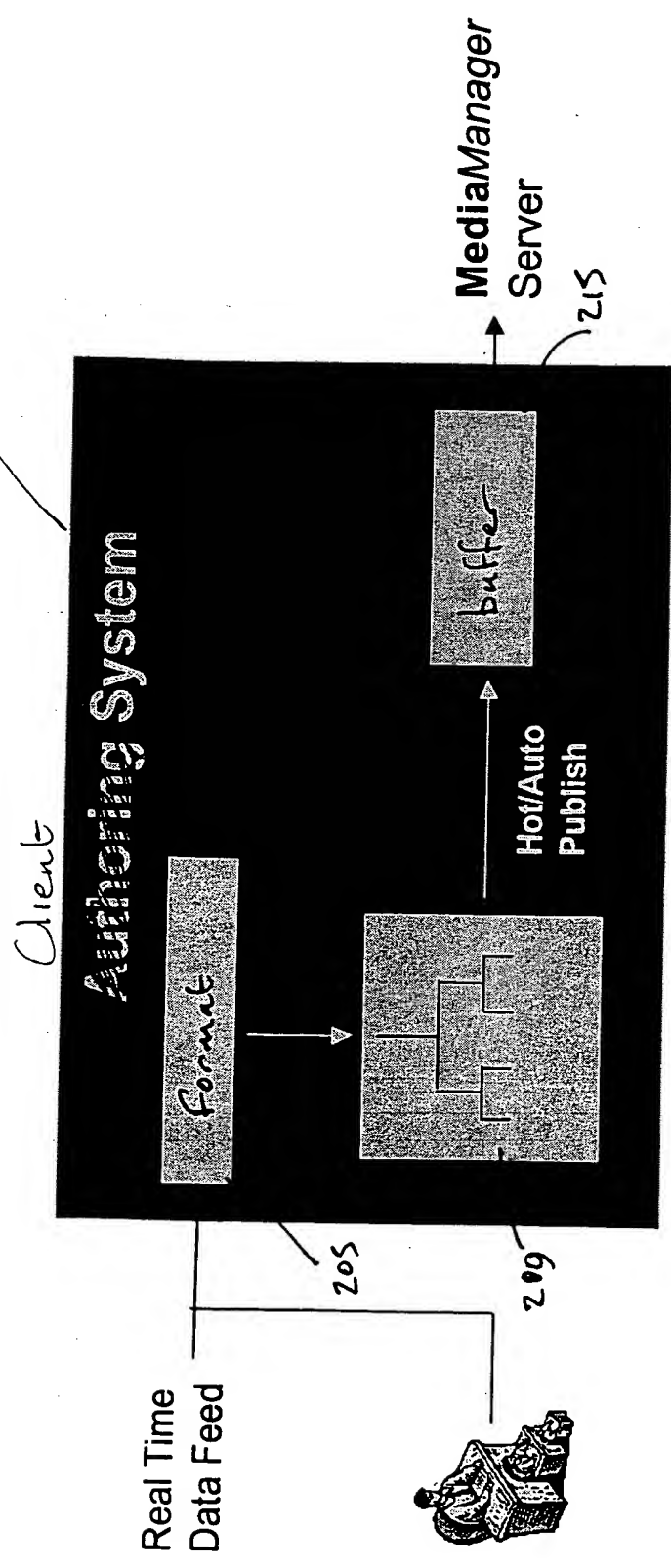


FIG. 7

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